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jc961 U.S. PTO

|   |                                       |   |                |
|---|---------------------------------------|---|----------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br>(Only for new nonprovisional applications under 37 CFR 1.53(b))  |                                       | Attorney Docket No. 124-00100    Total Pages 22   |                |
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents  |                                       | <b>First Named Inventor or Application Identifier</b><br>HENRIK JAKOBSEN  |                |
|   |                                       | Express Mail Label No. EL661112713US  |                |
| ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, D.C. 20231  |                                       | 11/13/00<br>jc961 U.S. PTO<br>09/711834   |                |
|   |                                       |   |                |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>(Submit an original, and a duplicate for fee processing)   |                                       | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)  |                |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 10]<br>(preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>                             |                                       | 7. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identify of above copies</li> </ul> |                |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 2]   |                                       | <b>ACCOMPANYING APPLICATION PARTS</b>   |                |
| 4. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>             (for continuation/divisional with Box 17 completed)<br/>             [Note Box 5 below]             <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>               Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> |                                       | 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |                |
| 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.  |                                       | 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)  |                |
|   |                                       | 10. <input type="checkbox"/> English Translation Document (if applicable)   |                |
|   |                                       | 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations   |                |
|   |                                       | 12. <input checked="" type="checkbox"/> Preliminary Amendment   |                |
|   |                                       | 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)   |                |
|   |                                       | 14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Status still proper and desired  |                |
|   |                                       | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)   |                |
|   |                                       | 16. <input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail</u><br>.....<br>.....   |                |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ / _____   |                                       |   |                |
| <b>18. CORRESPONDENCE ADDRESS</b>   |                                       |   |                |
| <input type="checkbox"/> Customer Number or Bar Code Label  |                                       | or <input checked="" type="checkbox"/> Correspondence address below.  |                |
| Insert Customer No. or Attach bar code label here   |                                       |   |                |
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November 13, 2000

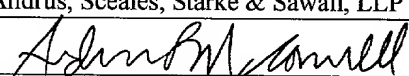
Date

Dawn M. Oleszak

Signature

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Date

| <b>FEE TRANSMITTAL</b>  |   | COMPLETE IF KNOWN   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
|---|---|---|-------------------|--|-------------------|----------|-----------------|----------|-----|-----|------------------------|-----|-----------------------------------|-----|-----|-----------------------------------|-----|--|-----|-----|--------------------------|-----|---------------------------|-----|-------|---|-------|--|-----|------|---|-----------------------------|--|-----|--------|-----|--------|---|-----|-----|-----|----|---|-----|-----|-----|-----|--|-----|-----|-----|-----|---|-----|-------|-----|-----|--|-----|-------|-----|-----|---|-----|-----|-----|-----|------------------|-----|-----|-----|-----|--|-----|-----|-----|-----|--------------------------|-----|-------|-----|-------|---|-----|-----|-----|----|--|-----|-------|-----|-----|--|-----|-------|-----|-----|--------------------------------|-----|-----|-----|-----|------------------|-----|-----|-----|-----|-----------------|-----|-----|-----|-----|-------------------------------|-----|----|-----|----|---|-----|-----|-----|-----|--|-----|----|-----|----|--|-----|-----|-----|-----|---|-----|-----|-----|-----|--|---------------------------|--|--|--|--|---------------------------|--|--|--|--|
|   |   | Application Number  |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
|   |   | Filing Date   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
|   |   | First Named Inventor<br>Henrik Jakobsen   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
|   |   | Group Art Unit  |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| Examiner Name   |   | Attorney Docket Number<br>124-00100   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| Total Amount of Payment (\$)<br>728.00  |   |   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| METHOD OF PAYMENT (check one)   |   | FEE CALCULATION (continued)   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:<br>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">01.2000</span><br>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">ANDRUS, SCEALES, STARKE &amp; SAWALL</span><br><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing Of the Notice of Allowance, 37 CFR 1.311(b)   |   | 2. <b>Additional Fees</b><br><table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge-late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for response within first month</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for response within second month</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for response within third month</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for response within fourth month</td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for response within fifth month</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of appeal</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive unavoidably abandoned application</td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive unintentionally abandoned application</td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>300</td><td>Design issue fee</td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Statement</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td></tr> <tr><td colspan="5">Other fee (specify) _____</td></tr> <tr><td colspan="5">Other fee (specify) _____</td></tr> </tbody> </table> |                   | Large Entity Code  | Small Entity Code | Fee (\$) | Fee Description | Fee Paid | 105 | 130 | 205                    | 65  | Surcharge-late filing fee or oath | 127 | 50  | 227                               | 25  | Surcharge-late provisional filing fee or cover sheet | 139 | 130 | 139                      | 130 | Non-English specification | 147 | 2,520 | 147   | 2,520 | For filing a request for reexamination | 112 | 920* | 112   | 920*                        | Requesting publication of SIR prior to Examiner action | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | 115 | 110 | 215 | 55 | Extension for response within first month | 116 | 390 | 216 | 195 | Extension for response within second month | 117 | 890 | 217 | 445 | Extension for response within third month | 118 | 1,390 | 218 | 695 | Extension for response within fourth month | 128 | 1,890 | 228 | 945 | Extension for response within fifth month | 119 | 310 | 219 | 155 | Notice of appeal | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | 121 | 270 | 221 | 135 | Request for oral hearing | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application | 141 | 1,240 | 241 | 620 | Petition to revive unintentionally abandoned application | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | 143 | 440 | 243 | 300 | Design issue fee | 144 | 600 | 244 | 300 | Plant issue fee | 122 | 130 | 122 | 130 | Petitions to the Commissioner | 123 | 50 | 123 | 50 | Petitions related to provisional applications | 126 | 240 | 126 | 240 | Submission of Information Disclosure Statement | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | Other fee (specify) _____ |  |  |  |  | Other fee (specify) _____ |  |  |  |  |
| Large Entity Code   | Small Entity Code   | Fee (\$)  | Fee Description   | Fee Paid   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 105   | 130   | 205   | 65                | Surcharge-late filing fee or oath  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 127   | 50  | 227   | 25                | Surcharge-late provisional filing fee or cover sheet                       |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 139   | 130   | 139   | 130               | Non-English specification  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 147   | 2,520   | 147   | 2,520             | For filing a request for reexamination                                     |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 112   | 920*  | 112   | 920*              | Requesting publication of SIR prior to Examiner action                     |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 113   | 1,840*  | 113   | 1,840*            | Requesting publication of SIR after Examiner action                        |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 115   | 110   | 215   | 55                | Extension for response within first month                                  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 116   | 390   | 216   | 195               | Extension for response within second month                                 |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 117   | 890   | 217   | 445               | Extension for response within third month                                  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 118   | 1,390   | 218   | 695               | Extension for response within fourth month                                 |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 128   | 1,890   | 228   | 945               | Extension for response within fifth month                                  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 119   | 310   | 219   | 155               | Notice of appeal   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 120   | 310   | 220   | 155               | Filing a brief in support of an appeal                                     |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 121   | 270   | 221   | 135               | Request for oral hearing   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 138   | 1,510   | 138   | 1,510             | Petition to institute a public use proceeding                              |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 140   | 110   | 240   | 55                | Petition to revive unavoidably abandoned application                       |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 141   | 1,240   | 241   | 620               | Petition to revive unintentionally abandoned application                   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 142   | 1,240   | 242   | 620               | Utility issue fee (or reissue)   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 143   | 440   | 243   | 300               | Design issue fee   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 144   | 600   | 244   | 300               | Plant issue fee  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 122   | 130   | 122   | 130               | Petitions to the Commissioner  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 123   | 50  | 123   | 50                | Petitions related to provisional applications                              |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 126   | 240   | 126   | 240               | Submission of Information Disclosure Statement                             |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 581   | 40  | 581   | 40                | Recording each patent assignment per property (times number of properties) |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 146   | 710   | 246   | 355               | Filing a submission after final rejection (37 CFR 1.129(a))                |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 149   | 710   | 249   | 355               | For each additional invention to be examined (37 CFR 1.129(b))             |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| Other fee (specify) _____   |   |   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| Other fee (specify) _____   |   |   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 3. <input checked="" type="checkbox"/> Payment Enclosed:<br><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other   |   |   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| FEE CALCULATION (fees effective 12/29/99)   |   |   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 1. <b>Filing Fee</b><br><table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (1) (\$)<br/>710.00</td></tr> </tbody> </table>   |   | Large Entity Code   | Small Entity Code | Fee (\$)   | Fee Description   | Fee Paid | 101             | 710      | 201 | 355 | Utility filing fee     | 106 | 320                               | 206 | 160 | Design filing fee                 | 107 | 490  | 207 | 245 | Plant filing fee         | 108 | 710                       | 208 | 355   | Reissue filing fee                              | 114   | 150                                    | 214 | 75   | Provisional filing fee                                  | SUBTOTAL (1) (\$)<br>710.00 |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| Large Entity Code   | Small Entity Code   | Fee (\$)  | Fee Description   | Fee Paid   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 101   | 710   | 201   | 355               | Utility filing fee   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 106   | 320   | 206   | 160               | Design filing fee  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 107   | 490   | 207   | 245               | Plant filing fee   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 108   | 710   | 208   | 355               | Reissue filing fee   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 114   | 150   | 214   | 75                | Provisional filing fee   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| SUBTOTAL (1) (\$)<br>710.00   |   |   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 2. <b>Claims</b><br><table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (2) (\$)<br/>18.00</td></tr> </tbody> </table> |   | Large Entity Code   | Small Entity Code | Fee (\$)   | Fee Description   | Fee Paid | 103             | 18       | 203 | 9   | Claims in excess of 20 | 102 | 80                                | 202 | 40  | Independent claims in excess of 3 | 104 | 270  | 204 | 135 | Multiple dependent claim | 109 | 80                        | 209 | 40    | Reissue independent claims over original patent | 110   | 18                                     | 210 | 9    | Reissue claims in excess of 20 and over original patent | SUBTOTAL (2) (\$)<br>18.00  |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| Large Entity Code   | Small Entity Code   | Fee (\$)  | Fee Description   | Fee Paid   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 103   | 18  | 203   | 9                 | Claims in excess of 20   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 102   | 80  | 202   | 40                | Independent claims in excess of 3  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 104   | 270   | 204   | 135               | Multiple dependent claim   |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 109   | 80  | 209   | 40                | Reissue independent claims over original patent                            |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| 110   | 18  | 210   | 9                 | Reissue claims in excess of 20 and over original patent                    |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| SUBTOTAL (2) (\$)<br>18.00  |   |   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| SUBMITTED BY  |   | COMPLETE (if applicable)  |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| Type or Printed name  | Andrew S. McConnell<br>Andrus, Sceales, Starke & Sawall, LLP                        | Registration Number   | 32,272            |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
| Signature   |  | Date  | 11/13/00          |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |
|   |   | Deposit Account User ID   |                   |  |                   |          |                 |          |     |     |                        |     |                                   |     |     |                                   |     |  |     |     |                          |     |                           |     |       |   |       |  |     |      |   |                             |  |     |        |     |        |   |     |     |     |    |   |     |     |     |     |  |     |     |     |     |   |     |       |     |     |  |     |       |     |     |   |     |     |     |     |                  |     |     |     |     |  |     |     |     |     |                          |     |       |     |       |   |     |     |     |    |  |     |       |     |     |  |     |       |     |     |                                |     |     |     |     |                  |     |     |     |     |                 |     |     |     |     |                               |     |    |     |    |   |     |     |     |     |  |     |    |     |    |  |     |     |     |     |   |     |     |     |     |  |                           |  |  |  |  |                           |  |  |  |  |

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Of: )  
)  
HENRIK JAKOBSEN ET AL )  
)  
Filed Herewith )  
)  
IMPROVEMENTS TO MICRO- )  
ELECTROMECHANICAL DEVICES )

**PRELIMINARY AMENDMENT**

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Please enter the following preliminary amendment prior to examination of the above application.

**IN THE CLAIMS**

4. (Amended) A device according to [any one of the preceding claims] claim 1, wherein the second softer or more malleable layer is formed from one of aluminium or gold.

5. (Amended) A device according to [any one of the preceding claims] claim 1, wherein the first layer or set of layers is approximately 7000 Å thick.

6. (Amended) A device according to [any one of the preceding claims] claim 1, wherein the first layer or set of layers is approximately 3000 Å thick to 10000 Å thick.

7. (Amended) A device according to [any one of the preceding claims] claim 1, wherein the second layer is approximately 5000 Å thick.

8. (Amended) A device according to [any one of the preceding claims] claim 1, wherein the second layer is approximately 2000 Å to 6000 Å thick.

9. (Amended) A device according to [any one of the preceding claims] claim 1, wherein additional titanium is formed on one or more of the surfaces that form the inner surface of a sealed cavity in the completed device.

13. (Amended) A method according to [any one of claims] claim 10 [to 12], wherein the hard layer is the first layer to be deposited on the glass and, subsequently, the second layer is deposited on top of the first layer.

14. (Amended) A method according to [any one of claims] claim 10 [to 13], wherein the layers are then subjected to two or more photolithography steps which firstly pattern the press contacts and wire bond pads in to the second layer and, secondly, pattern the conductors and electrodes in to the first layer.

15. (Amended) A method according to [any one of claims] claim 10 [to 14], wherein additional titanium is formed on one or more of the surfaces that form the inner surface of a sealed cavity in the completed device.

16. (Amended) A method according to [any one of claims] claim 10 [to 15], wherein the titanium acts as a getter to reduce the gas pressure in a sealed cavity after anodic bonding.

17. (Amended) A method according to [any one of claims] claim 10 [to 16], wherein the titanium acts as a getter to reduce the gas pressure in a sealed cavity after anodic bonding and this preferably occurs at approximately room temperature.

18. (Amended) A method according to [any one of claims] claim 10 [to 17], wherein the titanium acts as a getter to reduce the gas pressure in a sealed cavity after anodic bonding and during or after a heat treatment.

19. (Amended) A method according to [any one of] claim 10 [to 18], wherein the first layer is wet etched in an aqueous solution of ammonium hydroxide and hydrogen peroxide.

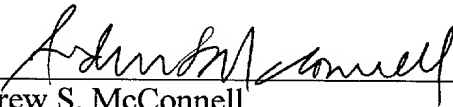
21. (Amended) A method according to [any one of claims] claim 10 [to 20], wherein the first and second layers are placed on the glass substrate instead of the semiconductor substrate to ensure that there is low stray capacitance within the device.

REMARKS

The above amendments are made to delete multiple dependency claim language. The claims are believed to be in condition for examination, and such action is earnestly requested.

Respectfully submitted,

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Attorney Docket No: 124-00100

## IMPROVEMENTS TO MICRO-ELECTROMECHANICAL DEVICES

This invention relates to micro-electromechanical devices and, in particular, to devices, which are  
5 fabricated from several substrates and subjected to anodic bonding during their manufacture.

A number of micro-electromechanical devices, such as accelerometers, pressure sensors, angular rate sensors, inclineometers, etc, are known.

10 It is well known with such micro-electromechanical devices to provide a glass substrate cover over a semiconductor substrate. Such a cover provides mechanical protection to the device, and can provide a vacuum cavity. The glass can also provide a mount for some of the required  
15 electrical components. Placing electrical components on the glass substrate rather than the semiconductor substrate reduces the stray capacitance in the micro-electromechanical device. The glass is bonded to the semiconductor substrate by a process known as anodic  
20 bonding and this procedure is known to be harsh and potentially damaging to the components involved.

For example, due to the high temperatures involved, some of the materials may deform so causing defects, which adversely affect the performance of the micro-  
25 electromechanical device. Further, stress related effects may disrupt proper functioning of the device after the bonding process.

According to a first aspect of the present invention, there is provided a device comprising electrical and  
30 mechanical components comprising multiple layers in which:

a first layer or first set of layers is arranged to function as one or more electrodes or conductors; and

a second layer is arranged to function as one or more press contacts or wire bond pads, wherein  
35 the second layer has different physical properties than the first layer or first set of layers, wherein the first layer

or set of layers is relatively hard or tough and the second layer is relatively soft or malleable.

Preferably, the first layer or first set of layers is formed from a titanium or, more preferably, from titanium and titanium nitride.

Preferably, the second layer is formed from one of aluminium or gold. The first and second layers may be formed on alkali containing borosilicate glass.

Preferably, the first layer is approximately 7000 Å thick and the second layer is approximately 5000 Å thick. The formation, and use of the press contacts as conductors, requires that the thickness of the layers is accurate and reproducible.

The present invention provides electrical and mechanical components which meets the diverse requirements of several functions within the micro-electromechanical device and which can withstand the harsh and potentially damaging environment during anodic bonding. In an embodiment of the invention the components mounted on the glass substrate include capacitor electrodes, conductors, press contacts, and wire bond pads. The conductors are both for electrical connection of the capacitor electrodes and the electric shields. The press contacts form electrical connection to conductors on the mating silicon substrate when the glass and silicon substrates are bonded together by the anodic bonding process.

According to a second aspect of the present invention, there is provided a method of forming electrical and mechanical components in a micro-electromechanical device, the method comprising the steps of:

forming a first layer or set of layers which functions as one or more electrodes or conductors; and

forming a second layer which functions as one or more press contacts or wire bond pads, wherein

the second layer has different physical properties than the first layer or first set of layers, wherein the



first layer or set of layers is relatively hard or tough and the second layer is relatively soft or malleable.

Preferably the first and second layers are etched to form the electrodes/conductors or bond pads/press contacts  
5 respectively. This process may include photolithography.

Preferably, the hard layer or set of layers is the first layer or set of layers to be deposited on the glass substrate and, subsequently, the second layer is deposited on top of the first layer. The layers may then be  
10 subjected to two or more photolithography steps which firstly pattern the wire bond pads and press contacts in to the second layer and, secondly, pattern the conductors and electrodes, as well as, the wire bond pads and press contacts, in to the first layer or set of layers. The  
15 pattern for the first layer must include all the features of the second layer because the first layer is under the second layer.

The first layer may be titanium.

The titanium may act as a getter to reduce the gas pressure in a sealed cavity after anodic bonding and this  
20 preferably occurs at approximately room temperature.

Preferably, the titanium layer forms electrodes which remain flat during anodic bonding and which do not form permanent bonds to a flexible silicon structure during  
25 anodic bonding. This also applies to the titanium when used as one or more electrical conductors.

An embodiment of the present invention will now be described with reference to the accompanying drawings in which:

30 Fig. 1 is a plan view schematic of a glass substrate with one set of components;

Fig. 2 is a cross-sectional view schematic of the multiple layers after deposition and before patterning;

Figs. 3 and 4 are cross-sectional view schematics  
35 through the substrate and layers after the second layer is patterned and after the first set of layers is patterned; and

Fig. 5 is a cross section schematic view of a complete device according to the present invention.

In Fig. 1 four components are depicted; 1 two conductors and an electrode, 2 a wire bond pad and press contacts, all are formed on a glass substrate 3.

Fig. 2 shows a first set of layers 1 to be patterned as a capacitor electrode, conductors and as an underlying layer; a wire bond pad and press contacts. A second layer 2 to be patterned as a wire bond pad and press contacts, and the glass substrate 3.

Fig. 3 shows a first set of layers 1 to be patterned as a capacitor electrode, conductors and as an underlying layer; also a wire bond pad and press contacts, and a second layer 2 that has been patterned as a wire bond pad and press contacts, and the glass substrate 3.

Fig. 4 shows the first set of layers 1 that has been patterned as a capacitor electrode, conductors and as an underlying layer; also a wire bond pad and press contacts, and the second layer 2 that is patterned as a wire bond pad and press contacts, and the glass substrate 3.

Fig. 5 shows a completed device with a first set of layers 1 that is patterned as a capacitor electrode, conductors and as an underlying layer; also a wire bond pad and press contacts, a second layer 2 that is patterned as a wire bond pad and press contacts, the glass substrate 3, and press contacts 4 mounted on silicon substrate 5. Fig. 5 does not show, however the electrical or mechanical components mounted on the silicon substrate, other than the press contacts.

The method of the invention will now be described.

The first 1 and second 2 layers are deposited on the glass substrate 3 in turn by any one of a number of known processes.

The first and second layers are then subjected to photolithography steps which pattern wire bond pads and press contacts firstly in the second layer and then in the first layer together with any conductor and electrodes that

are required. It will be appreciated that the patterns of the first layer must include all of the features of the second layer as it is disposed underneath it.

5 The photolithography and etching processes, which are proposed to be used with the present invention, are such that the first layer may be wet etched in an aqueous solution of ammonium hydroxide and hydrogen peroxide. Preferably the solution is made up of one part ammonium hydroxide, five parts hydrogen peroxide and nine parts  
10 water. The major concern with the etching process is that the etching should be consistent, that any residue is fully removed and that the line definition and line width is controlled.

15 The first and second layers may be placed on the glass substrate instead of the semiconductor substrate and this ensures that there is low stray capacitance within the device.

20 The choice of the materials to use for the first and second layers is dependent upon a number of specific characteristics, which the material must exhibit. The first layer or set of layers needs to have the following attributes: conductive, good adhesion to glass, patternable, low stress, good adhesion to glass after anodic bonding, hard, non-stick to flexible silicon  
25 structures and low cost. Other materials fulfill these characteristics except they are difficult or impossible to pattern with a wet etchant. Sputter etching cannot be used because it will damage the glass surface before the anodic bonding.

30 The second layer must be relatively soft or malleable enough to deform under the pressure applied during anodic bonding so that it can form a reliable press contact, which has low-electrical resistance. Further it must function reliably for use as wire bond pad and be patterned using  
35 standard photolithography and a wet etchant. Finally, it should also be a low cost material.

The etching of all layers is preferably selective. That is, each layer must be patterned by etching, without effecting the other layer or layers. Further the etching preferably results in excellent line definition and line width control.

During the anodic bonding, flexible silicon structures are pressed against the first layer by extremely high electric fields in the presence of high temperature. The resulting force per unit area between the flexible silicon structure and the first layer or set of layers is extremely high. Accordingly, the material from which the first layer or set of layers is formed should not undergo plastic deformation or permanently change shape under these extreme conditions. While this quality is often referred to as hard, a tough material, which combines high strength and flexibility, will also fulfil this requirement. The material of the first layer must also be inert with respect to silicon under the extreme conditions in which they are processed. As Anodic Bonding is often done in high vacuum. All materials used for substrates and component layers must be vacuum compatible.

## CLAIMS

1. A device having electrical and mechanical components the device comprising multiple layers in which:

5 a first layer or set of layers arranged to function as one or more electrodes or conductors; and

a second layer arranged to function as one or more press contacts or wire bond pads, wherein the second layer has different physical properties than the first layer, wherein the first layer or set of layers is relatively hard or tough and the second layer is relatively soft or malleable.

10

2. A device according to claim 1, wherein the first layer or set of layers is formed from titanium.

15

3. A device according to claim 1, wherein there is a first set of layers formed from titanium and titanium nitride.

20

4. A device according to any one of the preceding claims, wherein the second softer or more malleable layer is formed from one of aluminium or gold.

5. A device according to any one of the preceding claims, wherein the first layer or set of layers is approximately 7000 Å thick.

25

6. A device according to any one of the preceding claims, wherein the first layer or set of layers is approximately 3000 Å to 10000 Å thick.

30

7. A device according to any one of the preceding claims, wherein the second layer is approximately 5000 Å thick.

35

8. A device according to any one of the preceding claims, wherein the second layer is approximately 2000 Å to 6000 Å thick.
- 5 9. A device according to any one of the preceding claims, wherein additional titanium is formed on one or more of the surfaces that form the inner surface of a sealed cavity in the completed device.
- 10 10. A method of forming electrical and mechanical components in a micro-electromechanical device, the method comprising the steps of:
- forming a first layer which functions as one or more electrodes or conductors; and
- 15 forming a second layer which functions as one or more press contacts or wire bond pads, wherein
- the second layer has different physical properties than the first layer, wherein the first layer or set of layers is relatively hard or tough and the second layer is
- 20 relatively soft or malleable.
11. A method according to claim 10, wherein the first layer or set of layers and second layer are selectively etched to form the electrodes/conductors or bond pads/press
- 25 contact respectively.
12. A method according to claim 10, wherein the patterning processes includes photolithography and etching.
- 30 13. A method according to any one of claims 10 to 12, wherein the hard layer is the first layer to be deposited on the glass and, subsequently, the second layer is deposited on top of the first layer.
- 35 14. A method according to any one of claims 10 to 13, wherein the layers are then subjected to two or more photolithography steps which firstly pattern the press

contacts and wire bond pads in to the second layer and, secondly, pattern the conductors and electrodes in to the first layer.

- 5 15. A method according to any of claims 10 to 14, wherein additional titanium is formed on one or more of the surfaces that form the inner surface of a sealed cavity in the completed device.
- 10 16. A method according to any one of claims 10 to 15, wherein the titanium acts as a getter to reduce the gas pressure in a sealed cavity after anodic bonding.
- 15 17. A method according to any one of claims 10 to 16, wherein the titanium acts as a getter to reduce the gas pressure in a sealed cavity after anodic bonding and this preferably occurs at approximately room temperature.
- 20 18. A method according to any one of claims 10 to 17, wherein the titanium acts as a getter to reduce the gas pressure in a sealed cavity after anodic bonding and during or after a heat treatment.
- 25 19. A method according to any one of claim 10 to 18, wherein the first layer is wet etched in an aqueous solution of ammonium hydroxide and hydrogen peroxide.
- 30 20. A method according to claim 19, wherein the solution is made up of one part ammonium hydroxide, five parts hydrogen peroxide and nine parts water.
- 35 21. A method according to any one of claims 10 to 20, wherein the first and second layers are placed on the glass substrate instead of the semiconductor substrate to ensure that there is low stray capacitance within the device.

ABSTRACT

A device having electrical and mechanical components.  
The device comprises multiple layers in which:

- 5       a first layer or set of layers arranged is to function  
as one or more electrodes or conductors; and a second layer  
is arranged to function as one or more press contracts or  
wire contacts or wire bond pads. The second layer has  
different physical properties than the first layer, wherein  
10       the first layer or set of layers is relatively hard or  
tough and the second layer is relatively soft or malleable.  
A corresponding method is provided.



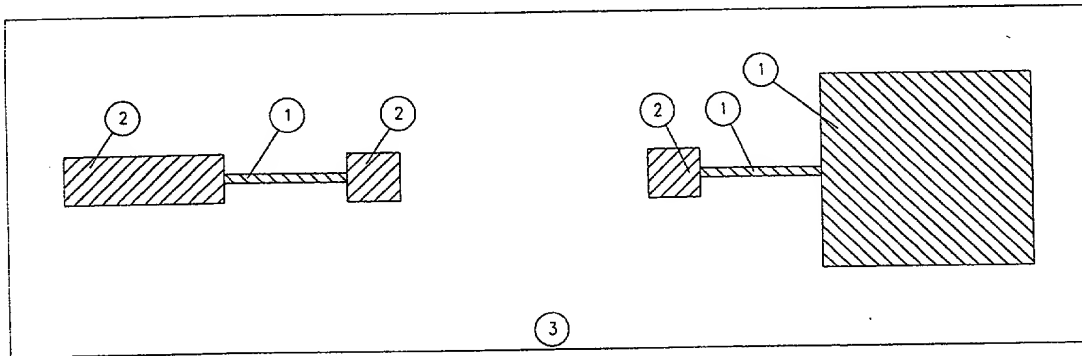


Figure 1

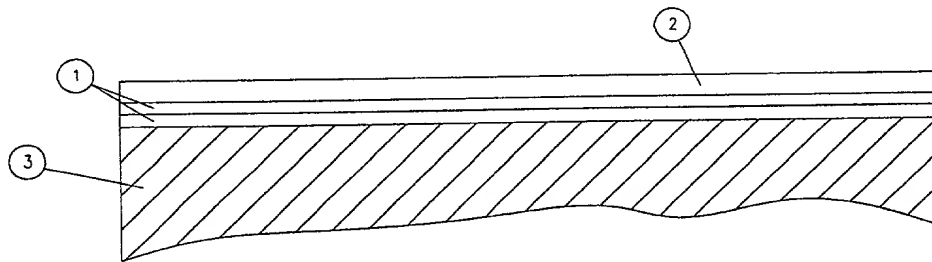


Figure 2

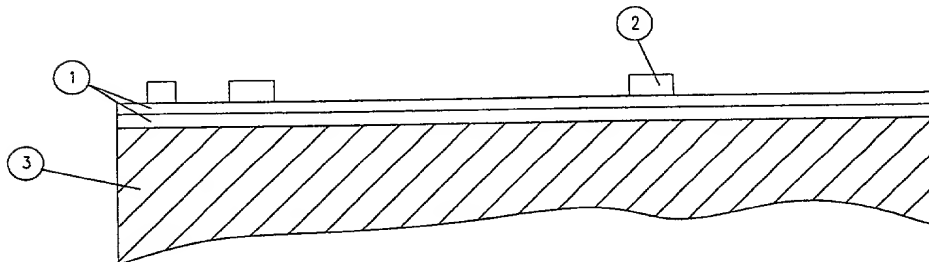


Figure 3

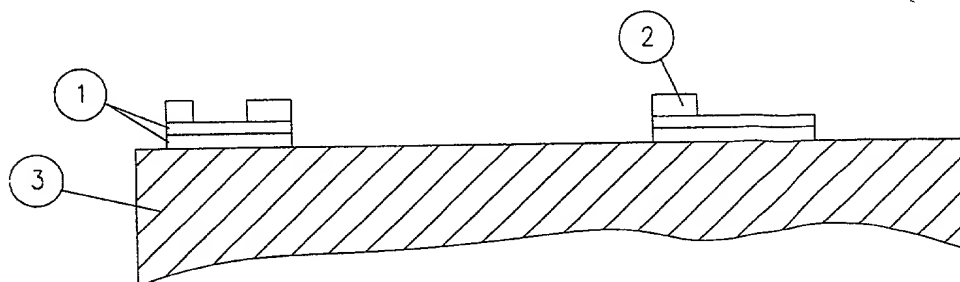


Figure 4

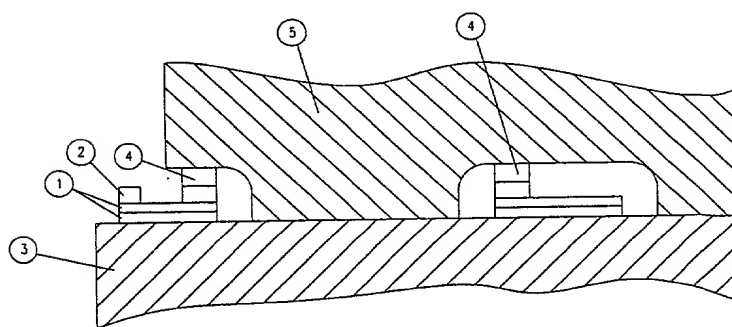


Figure 5

DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter which is claimed and for which a patent is sought on an invention entitled  
**IMPROVEMENT TO MICRO-ELECTROMECHANICAL DEVICES**

the specification of which ☐ is attached hereto or

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International  
 Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for a patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

| Prior Foreign<br>Application Number(s) | Country | Foreign Filing Date | Priority<br>Not Claimed  | Certified Copy<br>Attached? |                          |
|--|---------|---------------------|--------------------------|-----------------------------|--------------------------|
|  |         |                     |                          | YES                         | NO                       |
| 99310582.4                             | EP      | 24 DEC 1999         | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |
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|  |         |                     | <input type="checkbox"/> | <input type="checkbox"/>    | <input type="checkbox"/> |

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C 1001 and that such willful false statements may jeopardise the validity of the application or any patent issued thereon.

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Date of signature

12 oct 2000